

Declaration Letter

This letter, dated as of _____ 2022 is signed by _____ (“the Company”), having an address at _____.

Whereas, pursuant to the Client Clearing Agreement dated as of _____ by and between the Company and (the Clearing Member) in connection with submission the OTC derivatives transactions to Taiwan Futures Exchange for clearing, the Company hereby declares that the Company has fully understood the operation process and related matters regarding the centralized settlement of derivative products, and agrees to abide by the followings:

1. The Company shall comply with the rules and regulations related to the derivatives clearing promulgated by Taiwan Futures Exchange, including without limitation, the OTC Derivative Clearing Operating Rules, the OTC Derivatives Clearing Enforcement Rules, and the relevant regulations, guidelines and announcements, as may be amended, modified, or supplemented from time to time.
2. The Company shall comply with the provisions of the Client Clearing Agreement and perform the clearing obligations of settlement and payment.
3. In the case of the Company identified as an alien, the Company agrees to follow the Regulations Governing Investment in Securities by Overseas Chinese and Foreign Nationals to complete registration.

The Company

By: _____ (seal)

Name:

Title:

聲 明 書 (範本)

_____(立聲明書人)從事店頭衍生性金融商品交易，為提交至臺灣期貨交易所股份有限公司進行集中結算，於○○○年○○○月○○○日與○○○結算會員簽訂客戶結算交割契約。茲聲明本人已充分瞭解店頭衍生性金融商品集中結算作業流程及相關事宜，並同意遵守下列事項：

一、遵守臺灣期貨交易所股份有限公司店頭衍生性金融商品集中結算業務規則、店頭衍生性金融商品集中結算業務施行辦法暨其他相關規定、辦法及公告；其修正時，同有遵守之義務。

二、遵守客戶結算交割契約之相關規定，並履行因集中結算所生之交割及付款義務。

三、如具境外華僑與外國人身分，應按華僑及外國人投資證券管理辦法之規定完成登記。

此致

臺灣期貨交易所股份有限公司

立聲明書人

○○○公司

負責人 (簽名或蓋章)

中 華 民 國 年 月 日